

Content

Title :	Operation Directions for Evaluating Annual Performances of State-run Enterprises Ch
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Content :	1. The Operation Directions are established pursuant to Paragraph 2 of Article 3 of the Regulations for Evaluating Performance of State-run Enterprises (hereinafter referred to as “the Regulations”). 2. According to Article 5 of the Regulations, the evaluation consists of three steps: self-evaluation, preliminary evaluation, and reevaluation. 3. State-run enterprises shall evaluate their respective performance at the end of each year pursuant to Article 5 of the Regulations and according to the Directions for implementing annual performance evaluation as approved by the Executive Yuan; the businesses shall fill out the Self-evaluation Report (See Attachment 1) to indicate their successes and pinpoint areas that need to be improved. The Report shall be submitted along with annual book closing statements to their respective supervising agencies by February 25 of the following year, with copies forwarded to each member of the Reevaluation Committee. The Central Bank of China shall submit the aforementioned documents directly to the Executive Yuan. Members of the Reevaluation Committee stated in the preceding Paragraph refer to relevant offices of the Executive Yuan; Directorate-General of Budget, Accounting and Statistics of the Executive Yuan (hereinafter referred to as “Directorate-General of Budget, Accounting and Statistics”); Directorate-General of Personnel Administration of the Executive Yuan (hereinafter referred to as “Personnel Administration”); Council for Economic Planning and Development of the Executive Yuan (hereinafter referred to as “Council for Economic Planning and Development”); and the Research, Development and Evaluation Commission of the Executive Yuan (hereinafter referred to as “Research, Development and Evaluation Commission”). 4. The implementation of major policies according to Paragraph 2 of Article 9 of the Regulations shall comply with one of the following principles, except in cases of natural disasters, market conditions, exchange rate fluctuations, workers’ strikes, and other force majeure events: (1) Coordination with the implementation of major government policies to the extent that affects the earnings; (2) Coordination with the laws specifically stipulating the responsibility of the enterprises; and (3) State-owned enterprises whose earnings are affected while engaging in domestic or overseas investment in coordination with the implementation of major government policies. When a state-run enterprise prepares its annual budget and announces its policies, it should first estimate policy-affected amounts and submit such estimates to the competent authority for approval. The state-run enterprise

should propose amounts that are favorably and adversely affected by its policies. For policies that are already budgeted, the affected amount shall not be calculated repetitively.

The final account of the previous fiscal year mentioned in Paragraph 2 of Article 9 of the Regulations denotes the final statement after adjustment has been made in compliance with the execution of major government policies.

5. State-run enterprises shall give brief descriptions of items closely related to the implementation of major policies and their monetary impact when filling out the sections of "Self-evaluation Summary" and "Scoring Explanations" in the Self-evaluation Report. The Ledger of the Amounts Resulted from the Impact of Implementing Major Policies (See Attachment 2) shall also be filled out and submitted along with the Self-evaluation Report in addition to submitting a quarterly financial statement to respective supervising agencies, with copies forwarded to each member of the Reevaluation Committee.

6. Supervising agencies, after receiving the Self-evaluation Report and the Ledger of the Amounts Resulted from the Impact of Implementing Major Policies, shall complete the Preliminary Evaluation Report and invite at least three scholars or experts to conduct an assessment; complete the Collective Scoring Sheet and the Preliminary Evaluation Scoring Report (See Attachments 3, 4, and 5, respectively); and submit reports to the Executive Yuan by March 31 pursuant to Article 4 of the Administrative Law of State-Run Enterprise and Article 5 and Article 6 of the Regulations, with copies forwarded to each member of the Reevaluation Committee.

In the implementation of major policies and budgets, the competent authority shall submit the following documents:

- (1) Official letters pertaining to government policy implementation;
- (2) Policy objectives, implementation period, and present status of implementation; and
- (3) Initial conclusions of the assessing scholars or experts.

7. Reevaluation in performance evaluation of state-run enterprises shall be operated under the principle of labor division, where the Research, Development and Evaluation Commission is in charge of the comprehensive reevaluation and the compilation of the General Evaluation Report. The labor division for reevaluation is as follows:

- (1) Business operations: relevant offices of the Executive Yuan; Council for Economic Planning and Development; and the Research, Development and Evaluation Commission;
- (2) Financial management: Directorate-General of Budget, Accounting and Statistics;
- (3) Production management: relevant offices of the Executive Yuan; Council for Economic Planning and Development; and the Research, Development and Evaluation Commission;
- (4) Human resources management: Directorate-General of Personnel Administration;
- (5) Planning management (including research and development): Council for Economic Planning and Development; and the Research, Development and Evaluation Commission;
- (6) Environmental protection and industrial safety: relevant offices of the Executive Yuan; Council for Economic Planning and Development; and the Research, Development and Evaluation Commission; and
- (7) National policy cooperation, social responsibility, and other matters: relevant offices of the Executive Yuan; Council for Economic Planning and Development; and the Research, Development and Evaluation Commission.

8. Reevaluation shall be conducted by written examination, and on-site examinations may be conducted as necessary. Each sub-committee should assign a qualified person to conduct on-site examinations, or invite personnel of other related agencies, scholars, and experts to join as necessary.

9. When Reevaluation Committee members receive self-evaluation materials for performance evaluation of the preceding year forwarded by state-run enterprises, and receive preliminary evaluation materials forwarded by supervising agencies, the Committee shall proceed with reevaluation by April 30 in accordance with labor division provided by Point 6, and shall state related information and reevaluation points based on reevaluation

results according to formatting in Attachment 6 and Attachment 7, to be sent to the Research, Development and Evaluation Commission for comprehensive processing.

10. Supervising agencies and Reevaluation Committee members shall follow Article 9 of the Administrative Law of State-Run Enterprise in conducting the preliminary evaluation or reevaluation of annual performance evaluation of state-run enterprises, to carefully evaluate each state-run enterprise in terms of points and grades.

The annual operational budget cited in Paragraph 1 of Article 2 of the Regulations and the annual surplus cited in Paragraph 2 shall reflect pre-tax and after-tax amounts and shall be reviewed again in accordance with guidelines set by the Control Yuan.

11. Evaluation Grades:

(1) Evaluation results are categorized into the four grades: "A", "B", "C", and "D".

(2) State-owned enterprises that score 80 points or more in the evaluation are rated Grade A, those scoring 70 points or more but less than 80 points are rated Grade B, those scoring 60 points or more but less than 70 points are rated Grade C, and those scoring less than 60 points are rated Grade D.

12. Timeliness in the processing of self-evaluation, preliminary evaluation, and reevaluation stipulated in the Directions shall be controlled and monitored; state-run enterprises, supervising agencies, and Reevaluation Committee members shall complete submissions by the mandated deadlines. The Self-evaluation Report, the Preliminary Evaluation Report, and the Reevaluation Report are classified as confidential documents which will be automatically declassified after the Executive Yuan has approved the evaluation grades.

Attachments : 1020312-要點-英譯-第4點修正-1020905-全文-上網版.PDF

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